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**Subsidiary Body for Implementation**
**Fortieth session**
**Bonn, 4–15 June 2014**

Item 3(a) of the provisional agenda
**Reporting and review of Parties included in Annex I to the Convention**
**Status of submission and review of sixth national communications and first biennial reports from Parties included in Annex I to the Convention**

# Status of submission and review of sixth national communications and first biennial reports

## Note by the secretariat

1. This note provides information on the status of submission and review of sixth national communications (NC6s) and first biennial reports (BR1s) from Parties included in Annex I to the Convention (Annex I Parties) as at 15 May 2014.

2. The Conference of the Parties (COP), by decisions 9/CP.16, paragraph 5, and 2/CP.17, paragraph 13, requested Annex I Parties to submit their NC6s and BR1s, respectively, by 1 January 2014.

3. In addition, the COP, by decision 2/CP.17, paragraph 12, adopted the "UNFCCC biennial reporting guidelines for developed country Parties", noting that developed country Parties shall use the reporting guidelines for the preparation of their biennial reports (BRs). By decision 19/CP.18, paragraph 1, it also adopted the common tabular format (CTF) for those guidelines and decided that developed country Parties shall use the format when preparing and submitting their BRs in accordance with decision 2/CP.17.

4. By decision 7/CMP.8, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) requested Annex I Parties that are also Parties to the Kyoto Protocol to include, in their NC6s, the necessary supplementary information required by the "Guidelines for the reporting of supplementary information under Article 7, paragraph 2, of the Kyoto Protocol" (contained in the annex to decision 15/CMP.1).

5. At the time of the preparation of this note, the secretariat had received NC6s from all 44 Annex I Parties. A total of 26 Annex I Parties submitted their NC6s before the due date of submission in accordance with decision 9/CP.16, while 18 Annex I Parties submitted their NC6s after that date (12 of which submitted them within six weeks after that date). All

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national communications (NCs) received have been posted on the UNFCCC website.[1] Dates of submission and of scheduled review weeks are presented in the table below.

6. At the time of the preparation of this note, the secretariat had received 42 BR1s and 43 BR1 CTFs.[2] A total of 21 Annex I Parties submitted their BR1s and 19 Annex I Parties submitted their BR1 CTFs before the due date of submission in accordance with decision 2/CP.17, while 21 Annex I Parties submitted their BR1s and 24 Annex I Parties submitted their BR1 CTFs after that date (14 of which submitted their BR1s and 16 of which submitted their BR1 CTFs within six weeks after that date). The BR1s of Kazakhstan and Turkey, as well as the CTF of Turkey, have not been submitted. All BR1s and BR1 CTFs received have been posted on the UNFCCC website.[3] Dates of submission of BR1s and BR1 CTFs and dates of scheduled review weeks are presented in the table below.

7. The COP, by decision 23/CP.19, adopted the "Guidelines for the technical review of information reported under the Convention related to greenhouse gas inventories, biennial reports and national communications by Parties included in Annex I to the Convention" and decided to use the review guidelines for the review of BR1s and NC6s starting in 2014 and for subsequent reviews of BRs and NCs until any revisions are decided upon by the COP. The review guidelines state that, in the years in which NCs and BRs are submitted together, both will be subject to an in-country review.

8. The "Guidelines for review under Article 8 of the Kyoto Protocol" (contained in the annex to decision 22/CMP.1) specify that each NC submitted by an Annex I Party that is also a Party to the Kyoto Protocol shall be subject to a scheduled in-country in-depth review. By decision 9/CMP.9, the CMP requested the secretariat to organize centralized reviews of NC6s for Parties with total greenhouse gas emissions of less than 50 Mt carbon dioxide equivalent (excluding land use, land-use change and forestry) in accordance with their most recent greenhouse gas inventory submission, with the exception of Parties included in Annex II to the Convention, for which the secretariat will organize in-country in-depth reviews.

9. As at 12 May 2014, the secretariat had coordinated 15 in-country in-depth reviews of NC6s. In accordance with the provision of decision 9/CMP.9 mentioned in paragraph 8 above, centralized reviews of NC6s were organized by the secretariat for the following 10 Parties: Croatia, Cyprus, Estonia, Latvia, Liechtenstein, Lithuania, Malta, Monaco, Slovakia and Slovenia.

**Dates of submission of sixth national communications and first biennial reports and dates of scheduled review weeks, as at 15 May 2014[a]**

| *Annex I Party* | *National communication received (date)* | *Biennial report received (date)* | *Common tabular format received (date)* | *Language of submissions* | *Dates of review week* |
|---|---|---|---|---|---|
| Australia | 5 Aug. 2013 | 5 Aug. 2013 | 16 Jan. 2014 | English | 6–11 Oct. 2014 |
| Austria | 10 Feb. 2014 | 27 Feb. 2014 | 27 Feb. 2014 | English | 31 Mar. to 4 Apr. 2014 |
| Belarus | 31 Dec. 2013 | 14 Jan. 2014 | 30 Dec. 2013 | Russian | Planning in progress |
| Belgium | 20 Dec. 2013 | 12 Feb. 2014 | 12 Feb. 2014 | English | Planning in progress |
| Bulgaria | 30 Dec. 2013 | 30 Dec. 2013 | 30 Dec. 2013 | English | Planning in progress |

[1] <http://unfccc.int/national_reports/annex_i_natcom/submitted_natcom/items/7742.php>.

[2] The European Union (EU) submitted CTFs for both the EU-28 and the EU-15.

[3] <http://unfccc.int/national_reports/biennial_reports_and_iar/submitted_biennial_reports/items/7550.php>.

| *Annex I Party* | *National communication received (date)* | *Biennial report received (date)* | *Common tabular format received (date)* | *Language of submissions* | *Dates of review week* |
|---|---|---|---|---|---|
| Canada | 20 Dec. 2013<br>2 Apr. 2014 | 20 Dec. 2013 | 31 Dec. 2013 | English and French | 6–11 Oct. 2014 |
| Croatia | 12 Feb. 2014 | 12 Feb. 2014 | 12 Feb. 2014 | English | 5–10 May 2014 |
| Cyprus | 31 Dec. 2013 | 31 Dec. 2013 | 31 Dec. 2013 | English | 5–10 May 2014 |
| Czech Republic | 31 Jan. 2014 | 31 Jan. 2014 | 31 Jan. 2014 | English | 7–12 Apr. 2014 |
| Denmark | 3 Jan. 2014 | 3 Jan. 2014 | 7 Jan. 2014 | English | 17–22 Mar. 2014 |
| Estonia | 31 Dec. 2013 | 31 Dec. 2013 | 31 Dec. 2013 | English | 5–10 May 2014 |
| European Union (28) | 13 Jan. 2014 | 13 Jan. 2014 | 13 Jan. 2014 | English | 24–29 Mar. 2014 |
| European Union (15) | — | — | 15 Jan. 2014 | — | 24–29 Mar. 2014 |
| Finland | 30 Dec. 2013 | 30 Dec. 2013 | 30 Dec. 2013 | English | 7–12 Apr. 2014 |
| France | 25 Oct. 2013 | 31 Dec. 2013 | 27 Dec. 2013 | French, with English abstract | 7–12 Apr. 2014 |
| Germany | 20 Dec. 2013 | 20 Dec. 2013 | 20 Dec. 2013 | English | 6–11 Oct. 2014 |
| Greece | 31 Dec. 2013 | 31 Dec. 2013 | 31 Dec. 2013 | English | 3–8 Oct. 2014 |
| Hungary | 8 Jan. 2014 | 8 Jan. 2014 | 2 Jan. 2014 | English | 23–28 June 2014 |
| Iceland | 7 Mar. 2014 | 7 Mar. 2014 | 7 Mar. 2014 | English | 25–30 Aug. 2014 |
| Ireland | 7 Mar. 2014 | 7 Mar. 2014 | 20 Feb. 2014 | English | 19–24 May 2014 |
| Italy | 12 Feb. 2014 | 12 Feb. 2014 | 10 Feb. 2014 | English | 7–12 Apr. 2014 |
| Japan | 27 Dec. 2013 | 27 Dec. 2013 | 27 Dec. 2013 | English | 6–11 Oct. 2014 |
| Kazakhstan (NC3, 4, 5 and 6) | 24 Dec. 2013 | Not yet received | 26 Feb. 2014 | English and Russian | Planning in progress |
| Latvia | 29 Dec. 2013 | 29 Dec. 2013 | 29 Dec. 2013 | English | 5–10 May 2014 |
| Liechtenstein | 23 Dec. 2013 | 23 Dec. 2013 | 23 Dec. 2013 | English | 5–10 May 2014 |
| Lithuania | 10 Jan. 2014 | 10 Jan. 2014 | 10 Jan. 2014 | English | 5–10 May 2014 |
| Luxembourg | 21 Jan. 2014 | 21 Jan. 2014 | 22 Feb. 2014 | English | 24 Feb. to 1 Mar. 2014 |
| Malta | 9 Apr. 2014 | 9 Apr. 2014 | 9 Apr. 2014 | English | 5–10 May 2014 |
| Monaco | 4 Apr. 2014 | 14 Apr. 2014 | 7 Apr. 2014 | French | 5–10 May 2014 |
| Netherlands | 30 Dec. 2013 | 31 Dec. 2013 | 31 Dec. 2013 | English | 24 Feb. to 1 Mar. 2014 |
| New Zealand | 12 Dec. 2013 | 13 Dec. 2013 | 13 Dec. 2013 | English | 24 Feb. to 1 Mar. 2014 |
| Norway | 10 Mar. 2014 | 10 Mar. 2014 | 10 Mar. 2014 | English | 6–11 Oct. 2014 |
| Poland | 7 Feb. 2014 | 7 Feb. 2014 | 7 Feb. 2014 | English | Planning in progress |

| *Annex I Party* | *National communication received (date)* | *Biennial report received (date)* | *Common tabular format received (date)* | *Language of submissions* | *Dates of review week* |
|---|---|---|---|---|---|
| Portugal | 31 Dec. 2013 | 31 Dec. 2013 | 6 Mar. 2014 | English | 7–12 Apr. 2014 |
| Romania | 19 Dec. 2013 | 19 Dec. 2013 | 19 Dec. 2013 | English | 23–28 June 2014 |
| Russian Federation | 30 Dec. 2013 | 11 Feb. 2014 | 17 Feb. 2014 | Russian | 6–11 Oct. 2014 |
| Slovakia | 2 Jan. 2014 | 2 Jan. 2014 | 22 Jan. 2014 | English | 5–10 May 2014 |
| Slovenia | 17 Apr. 2014 | 17 Apr. 2014 | 28 Mar. 2014 | English | 5–10 May 2014 |
| Spain | 20 Dec. 2013 | 19 Dec. 2013 | 19. Dec. 2013 | Spanish | 5–10 May 2014 |
| Sweden | 20 Dec. 2013 | 20 Dec. 2013 | 20 Dec. 2013 | English | 7–12 Apr. 2014 |
| Switzerland | 3 Feb. 2014 | 3 Feb. 2014 | 3 Feb. 2014 | English | 7–12 Apr. 2014 |
| Turkey (NC5) | 17 Dec. 2013 | Not yet received | Not yet received | English | Planning in progress |
| Ukraine | 30 Dec. 2013 | 30 Dec. 2013 | 30 Dec. 2013 | Russian | Planning in progress |
| United Kingdom of Great Britain and Northern Ireland | 20 Dec. 2013 | 20 Dec. 2013 | 12 Feb. 2014 | English | Planning in progress |
| United States of America | 1 Jan. 2014 | 1 Jan. 2014 | 1 Jan. 2014 | English | 24 Feb. to 1 Mar. 2014 |

*Abbreviation*: NC = national communication.

[a] The table specifies the dates of the review of the sixth national communications and first biennial reports only for those Parties with which the dates had been agreed by the time of the preparation of this note. The planning of the remaining reviews is in progress.

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Item 6(f) of the provisional agenda
**Matters relating to the mechanisms under the Kyoto Protocol**
**Matters relating to the international transaction log under the Kyoto Protocol**

# Options for, and road map to, information security implementation in the registry system

## Note by the administrator of the international transaction log

*Summary*

Subsequent to preliminary efforts by the Security Working Group, this document specifies options for, and a road map to, further implementation of information security controls in systems supporting emissions trading under the Kyoto Protocol. The Subsidiary Body for Implementation may wish to take note of the information contained in this report and decide on further actions to guide the work of the Security Working Group.

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# I. Introduction

## A. Mandate

1. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), by decisions 3/CMP.1 and 13/CMP.1, and the Conference of the Parties, by decision 24/CP.8, requested each Party included in Annex I to the Convention (Annex I Party) to establish and maintain a national registry to ensure the accurate accounting of the issuance, holding, transfer, acquisition, cancellation, retirement and carry-over of Kyoto Protocol units, and requested the secretariat to establish and maintain an international transaction log (ITL) to verify the validity of transactions proposed by national registries. The ITL and national registries are essential for the implementation of the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol.

2. The CMP, by decision 12/CMP.1, requested the Subsidiary Body for Implementation (SBI) to consider, at its future sessions, the annual reports of the ITL administrator, with a view to requesting the CMP to provide guidance in relation to the operation of national registry systems.

3. The eighth annual report of the ITL administrator[1] provided recommendations to the CMP at its eighth session based on lessons learned during the first commitment period of the Kyoto Protocol. In the recommendations, information security management based on International Organization for Standardization/International Electrotechnical Commission (ISO/IEC) 27001[2] standards were brought to the attention of the CMP. The SBI at its thirty-ninth session requested[3] the ITL administrator and the Security Working Group (SWG) under the Registry System Administrators Forum to further elaborate on options for, and a road map to, information security implementation in national registry systems, for consideration at SBI 40.

4. In order to assess the impact of managing information security based on ISO/IEC 27001 standards, the ITL administrator founded the SWG, which includes representatives of registry system administrators (RSAs) of national registries and the clean development mechanism registry. Following the establishment of objectives and plans to adopt a framework for managing the security of the information assets within the systems supporting emissions trading under the Kyoto Protocol, the SWG identified relevant assets and their associated information security requirements, reviewed known information security threats and selected relevant controls to manage unacceptable risks.

## B. Possible action by the Subsidiary Body for Implementation

5. The SBI may wish to take note of the information included in this report and decide on further actions based on the options that the SWG recommends in this document. This will guide the further work of the SWG concerning the implementation of additional information security controls in systems supporting emissions trading under the Kyoto Protocol.

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[1] FCCC/KP/CMP/2012/8, paragraph 58(b).

[2] ISO/IEC 27001:2013. Information technology – Security techniques – Information security management systems – Requirements.

[3] FCCC/SBI/2013/20, paragraph 83.

# II. Information security

## A. Overview

6. Information security is focused on the protection of **confidentiality**, **availability** and **integrity** of information. It is achieved by implementing a suitable set of controls, which cover a range of activities related to policies, processes, procedures, organizational structures, software and hardware. These controls need to be selected and implemented in an information security management system (ISMS) to ensure that confidentiality, integrity and availability objectives are met. The selection of controls that effectively treat and manage risks is based upon a risk assessment and risk acceptance levels.

7. Currently, the data exchange standards (DES)[4] mandate a set of security controls that are applied to the interface between the ITL and national registries. A central review of these controls is carried out by the ITL administrator when establishing a registry's readiness to connect to the ITL. Changes in the application of security controls mandated by DES are assessed on an annual basis in the standard independent assessment report (SIAR) process. Further to the mandated controls, additional supplementary controls are applied based on the initiative of national registries.

8. National registries have experienced the consequences of emerging attacks, such as phishing attacks in 2010,[5] and an emergency security procedure has been adopted to address security breaches in national registry systems. The above-mentioned controls mandated in DES or applied by national registry systems, however, do not guarantee consistency in the application of security controls.

## B. Scope of information security management system

9. Information assets related to emissions trading under the Kyoto Protocol require adequate protection from unauthorized access and uncontrolled modifications while availability to the emissions trading processes and systems must be assured. The SWG identified information assets consisting of **primary** and **supporting** business processes and information entities based upon guidance from decision 13/CMP.1, DES and SIARs.[6]

10. Primary business processes are processes where loss or degradation makes it impossible to carry out emissions trading under the Kyoto Protocol. These include the unit transaction, reconciliation, administration, data-logging, reporting and account management processes.

11. Primary information is vital for the implementation of the primary business processes. This information consists of strategic data that are partially made available to the public, including the personal information of stakeholders and transaction information representing monetary value. The primary information is related to transactions, registries and accounts.

12. Supporting entities are assets upon which the primary business processes and primary information assets rely. Primarily, these are the supporting information technology (IT) systems that consist of software, hardware and data connections. They also comprise RSAs, developers, site operation staff and authorized account representatives. Finally, they can also include the physical locations that host the primary and supporting assets.

[4] <http://unfccc.int/files/kyoto_protocol/registry_systems/application/pdf/act_des_full_v1.1.10.pdf>.
[5] FCCC/KP/CMP/2010/8.
[6] <http://unfccc.int/kyoto_protocol/registry_systems/independent_assessment_reports/items/4061.php>.

13. Following the identification of the assets, the SWG applied a valuation scale to evaluate the assets based on confidentiality, integrity and availability criteria. All of the assets identified by the SWG have been assessed as **restricted**[7] or **sensitive**.[8] The restricted and sensitive valuations relate to the impact of a degradation, or loss of accuracy, completeness or availability of the assets.

## C. Security Working Group review of threats and vulnerabilities

14. Based on the knowledge obtained from recent security incident reviews, the SWG identified known threat sources and assessed the nature of threats as **deliberate**, **accidental** or **environmental**. The threats could originate from special interest groups or internal and environmental sources:

(a) Groups with a special interest in information concerning emissions trading under the Kyoto Protocol that are motivated by potential financial returns, or deliberate disruption or destruction of information. These include organized crime groups, hackers, environmental protection action groups and bodies opposed to increased environmental controls;

(b) Internal sources that consist of RSAs, developers, site operation staff and authorized account representatives. These sources can cause unintentional errors or can have specific interests in abusing existing or obtainable access in order to satisfy their curiosity, commit sabotage or exploit potential financial gains. Internal sources also include external entities who are contracted to operate or maintain the assets or premises holding the assets;

(c) Diverse environmental factors that can influence the assets dispersed on a global scale and can cause physical damage or make services temporarily unavailable.

15. Based on ISO guidance, the SWG identified vulnerabilities related to:

(a) Absent, insufficient or incorrect use of the information security policies, procedures and processes that define the issuance and removal of formal access to assets on predefined levels; the monitoring and reporting of security incidents; the periodic identification and assessment of assets, threats, vulnerabilities and risks, and the allocation of information security roles and responsibilities;

(b) Limited security awareness of internal and third-party resources that operate or maintain emissions trading assets or premises holding these assets. This awareness could be improved by participation in security training, the application of security policies and procedures, meeting formal prerequisites during recruitment and accepting non-disclosure agreements in employment contracts;

(c) Absent, insufficient or incorrect use of:

(i) **Technical** controls that ensure: logging and audit trails, correct system parameters, data encryption, and adequate user identification and authentication mechanisms;

[7] Restricted: if disclosure of the information in scope is not controlled, it can result in major financial loss or damage to public perception. Degradation of its accuracy and completeness or interrupted availability drastically impacts emissions trading under the Kyoto Protocol and is unacceptable.

[8] Sensitive: if the information is leaked outside the organization, it can result in minor financial loss or embarrassment. Degradation of its accuracy and completeness or interrupted availability substantially impacts emissions trading under the Kyoto Protocol and must be avoided.

(ii) **Physical** controls that ensure physical protection and safeguarding from environmental factors;

(iii) **Administrative** controls that ensure: correct installation; regular and planned maintenance; periodic replacement; managed disposal; complete and accessible user and system documentation, and effective change, release and capacity management mechanisms.

## D. Security Working Group review of risks and consequences

16. The SWG assessed the impact that might result from the interruption or loss of **confidentiality**, **integrity** or **availability** of the assets supporting emissions trading under the Kyoto Protocol and identified risks originating from the following sources:

(a) Internal or external sources that can impair logical access to emissions trading systems and result in the retrieval, modification or disclosure of sensitive or restricted data used to complete fraudulent transactions;

(b) Internal or external sources that can impair the emissions trading IT systems through inserting covert modifications or malicious code;

(c) Events such as flooding, earthquakes or fire that can limit or cease the ability of sites to support the emissions trading IT systems and resources and prevent their ability to be operated in a safe and reliable way.

17. The SWG conducted an initial risk review and identified the following consequences of emerging threats:

(a) Direct or indirect financial loss due to theft of Kyoto Protocol units or unforeseen costs related to executing emergency procedures;

(b) Unplanned interruption of services leading to an inability to trade Kyoto Protocol emissions and fulfil compliance obligations;

(c) The violation of internal or external regulations resulting in judicial proceedings, penalties or disciplinary measures;

(d) Damage to the reputation of emissions trading mechanisms in general terms.

18. Based on the international standard ISO/IEC 27002,[9] the SWG prepared a control baseline that includes 95 technical, administrative and physical controls. These controls prevent, detect, correct and deter illegitimate access and uncontrolled modification of the emissions trading IT systems and assist in removing environmental influences that limit registry operations.

## E. Security Working Group evaluation of controls

### 1. Control implementation guidance

19. Subsequent to the initial risk review and guidance provided by ISO/IEC 27001 and DES, the SWG applied implementation guidance to the 95 controls included in the baseline. The SWG categorizes these controls as:

(a) **Mandatory** when required by DES (subtotal: 27);

[9] ISO/IEC 27002:2013. Information technology – Security techniques – Code of practice for information security controls.

(b) **Normative** when assumed obligatory by ISO/IEC 27001 to be selected as a part of an ISMS planning and implementation process (subtotal: 50);

(c) **Recommended** when not assumed obligatory by ISO/IEC 27001 or DES, but supporting controls with normative or mandatory implementation guidance (subtotal: 18).

20. Furthermore, the SWG associated all the controls included in the baseline with a qualitative cost estimate. The level of cost related to implementing each control is estimated as:

(a) **High** for controls that require procuring, implementing and operating systems that currently do not exist within the architecture or organization of emissions trading systems;

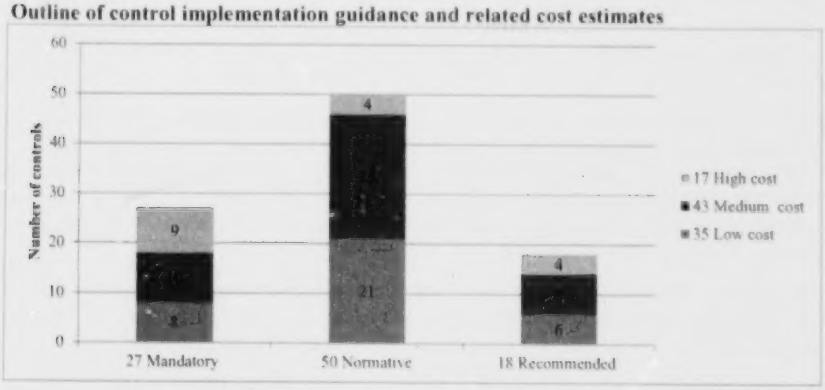
(b) **Medium** for controls that require implementing and operating additional features to systems that currently do exist within the architecture or organization of emissions trading systems;

(c) **Low** for controls that currently do exist within the architecture or organization of emissions trading systems or for controls that entail low expenditures in operation and procurement efforts.

21. An outline of control implementation guidance and related cost estimates is represented in figure 1.

Figure 1

**Outline of control implementation guidance and related cost estimates**




## 2. Control implementation survey

22. To support the SWG in evaluating the existing controls and identifying options to promote or improve security management, an initial survey was sent to all RSAs to conduct an assessment of the implementation status of the required technology and processes.

23. Subsequently, 17 national registries voluntarily responded to the initial survey. The response included 13 submissions from the Consolidated System of European Union Registries (CSEUR) and four submissions from non-CSEUR registries. As originally anticipated, the survey results confirmed that mandatory controls have already been implemented and that normative and recommended controls have been partially implemented. In addition, the survey reiterated that the consistent application of controls in systems supporting emissions trading under the Kyoto Protocol is not currently guaranteed.

# III. Options identified by Security Working Group

24. Based on the control baseline and the initial implementation survey, the SWG identified two options to facilitate ISMS implementation in systems supporting emissions trading under the Kyoto Protocol. These options are **business as usual** and **further implementation**, which are outlined below.

## A. Option 1: business as usual

25. The business as usual option refers to a normal execution of standard information security operations within systems supporting emissions trading under the Kyoto Protocol. These operations are already defined in the existing DES and are mandatory for all registry systems connected to the ITL.

26. DES includes controls related to authentication and authorization; test, change and release management; information handling and exchange; system monitoring and logging; and transaction validation. In contrast, DES does not include controls related to asset management, information security roles and responsibilities, physical and environmental security, and information security incident management.

27. The business as usual option addresses only the current situation and does not mandate additional activities to improve information security. Consequently, this option does not introduce additional efforts or costs because, within it, no new guidance would be defined. Selecting the business as usual option confirms the role and responsibilities of individual national registries to implement security controls in the absence of additional guidance.

28. Selecting the business as usual option implies the acceptance of the risk of misinterpreting security incidents due to the fact that relevant assets would not be properly classified. Moreover, security incidents would go unrecognized owing to absent reporting structures. This option also implies acceptance of the risk of environmental events that limit or cease the ability of sites to support the emissions trading systems owing to an inadequate implementation of physical controls.

29. As the business as usual option implies the acceptance of the above-mentioned risks and does not improve consistency in the application of security controls in systems supporting emissions trading under the Kyoto Protocol, it is not recommended.

## B. Option 2: further implementation

30. The further implementation option refers to a structured and planned extension of the current information security control implementation in systems supporting emissions trading under the Kyoto Protocol. The further implementation option requires an additional registry-specific and quantitative risk assessment, followed by an in-depth control implementation analysis. Based on further evaluation of the control implementation, improvement options will be identified. The additional controls selected for implementation will be prioritized based on the control's risk mitigation capabilities and risk probabilities.

31. Selecting the further implementation option will consequently result in additional efforts and costs related to:

(a) National registries and the ITL administrator implementing secure data exchange methods and procedures;

(b) National registries conducting additional risk assessments by applying recognized methodologies;

(c) The SWG nominating and prioritizing control implementations;

(d) The SWG defining criteria to monitor the implementation progress and the effectiveness of controls;

(e) National registries systematically implementing the selected controls in registry operations;

(f) National registries reporting on the status of control implementation;

(g) The SWG systematically monitoring the status of control implementation.

32. Based on the further implementation option, the SWG identified a need to strengthen its capacity by engaging an external expert with information security specific skills. This security expert would be responsible for identifying methods to support risk assessments; planning, preparing and executing applicable control implementations; and supporting the operation of ISMS.

# IV. Implementation road map

33. Further steps for implementing additional security controls in systems supporting emissions trading under the Kyoto Protocol are projected in the road map (figure 2). Each step in the road map includes key performance indicators (KPIs) to measure the progress and effectiveness of ISMS implementation.

34. Steps 1–4 of the road map refer to the preparation phase of ISMS implementation. This phase includes the definition of ISMS scope; a qualitative exploration of known threats, vulnerabilities and consequences; and the preliminary definition of a control baseline. The preparation phase has been completed by developing this document.

35. Steps 5–8 of the road map refer to the planning phase of ISMS implementation. Based on further guidance from the SBI at its fortieth session, the process will either be completed by selecting the business as usual option or will commence, should the further implementation option be selected, with a detailed, registry-specific and quantitative risk assessment, followed by an in-depth control implementation analysis. A subsequent implementation status gap analysis would provide an input to the prioritization and detailed planning of additional control implementation. The planning phase would be concluded by the SBI considering and providing further guidance on the preferred implementation option. The selected option would define additional steps, which will be completed during the execution phase.

36. Step 9 of the road map refers to the execution of the selected implementation option.

37. Steps 10–12 refer to the operation of ISMS. Controls implemented during the execution phase must be monitored to effectively recognize and respond to information security incidents and to continuously improve ISMS.

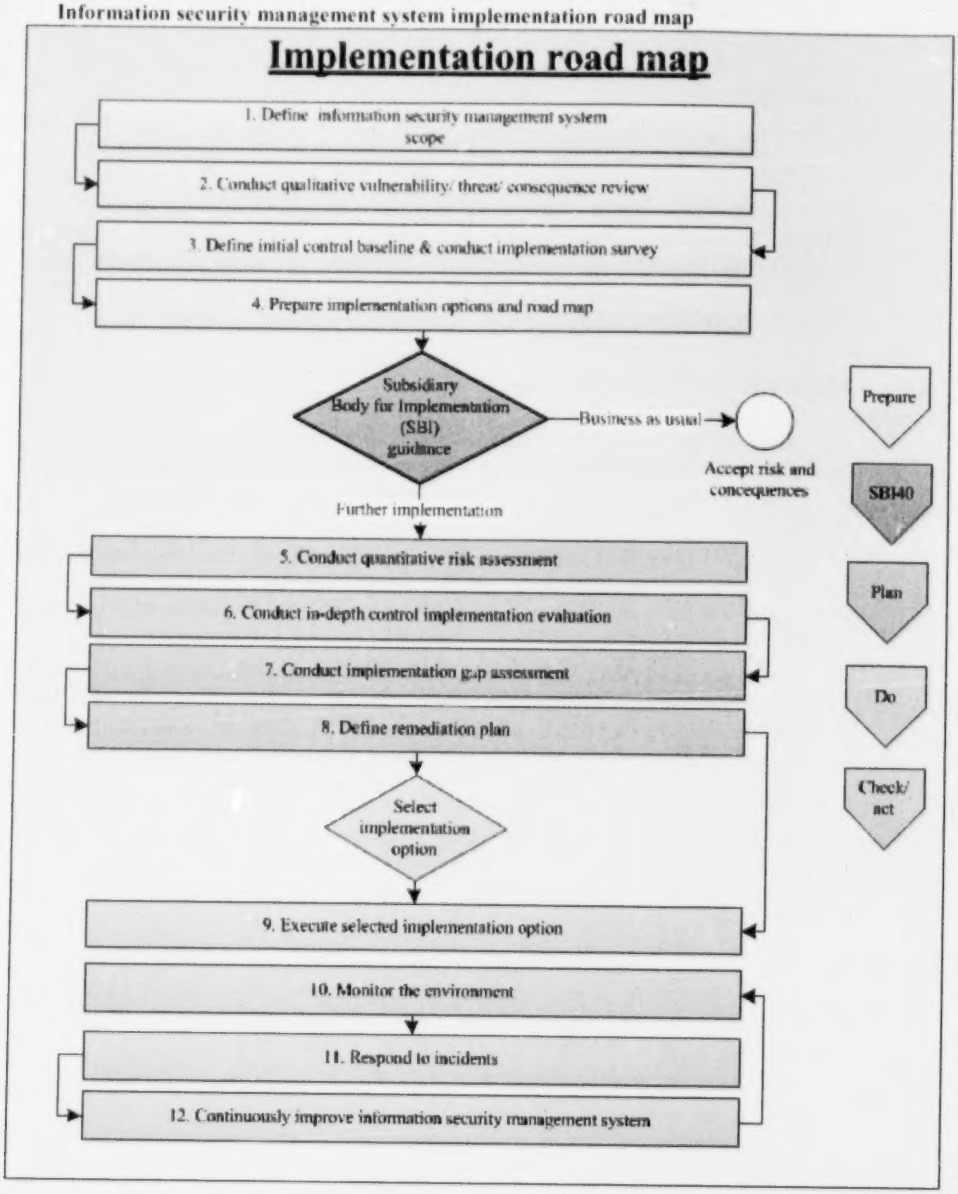
38. The planning phase has been initiated by preparing the ISMS implementation options and road map included in this document. Should the SBI at its fortieth session decide to proceed with the further implementation option, the planning activities included in steps 5–8 will be completed in order to define and recommend the final implementation option.

39. Information on the progress of the work conducted by the SWG would be provided to the SBI through the annual reports of the ITL administrator, with a view to providing

guidance, as necessary, in relation to a structured and planned extension of the current information security control implementation in systems supporting emissions trading under the Kyoto Protocol.

Figure 2

**Information security management system implementation road map**


Implementation road map
1. Define information security management system scope
2. Conduct qualitative vulnerability/ threat/ consequence review
3. Define initial control baseline & conduct implementation survey
4. Prepare implementation options and road map
Subsidiary Body for Implementation (SBI) guidance
Business as usual
Accept risk and concequences
Further implementation
5. Conduct quantitative risk assessment
6. Conduct in-depth control implementation evaluation
7. Conduct implementation gap assessment
8. Define remediation plan
Select implementation option
9. Execute selected implementation option
10. Monitor the environment
11. Respond to incidents
12. Continuously improve information security management system
Prepare
SBI40
Plan
Do
Check/ act

# V. Monitoring and continuous improvement

40. Should the SBI at its fortieth session decide to proceed with the further implementation option, the upcoming activities would include methods to monitor and measure progress towards achieving the planned milestones and improving security awareness.

41. Progress and costs would be monitored on an on-going basis to determine conformance with the implementation plan and to allow for mid-course corrections on a timely basis. The measuring of implementation progress will benefit from developing an appropriate set of KPIs.

42. KPIs indicating a successful ISMS implementation could include:

(a) Quantitative identification, categorization and definition of risks and risk mitigating controls;

(b) Definition and execution of appropriate tests to confirm the effectiveness and compliance of implemented controls;

(c) Progress in control implementation and effectiveness testing;

(d) Resources committed to accomplishing the risk assessment, executing the control implementation and to reporting on the implementation progress.

43. Compliance reporting and monitoring based on KPIs can follow existing reporting processes. Decision 15/CMP.1, annex, paragraph 22, requires Annex I Parties to provide in national inventory reports (NIRs) information on any changes that have occurred in the national registries. This includes information submitted in accordance with decision 15/CMP.1, annex, paragraph 32(f), which requires each Annex I Party to provide a description of how security measures are employed in the national registry and how these measures are kept up to date.

44. To enable compliance reporting and monitoring, additional guidance on specific security reporting requirements and inclusion of classified information in NIRs and SIARs is to be provided by the CMP.

45. Combined with the selection of the ISMS implementation option, it is expected that the SBI will be requested to consider additional technical and administrative roles required to effectively operate ISMS. Roles in accordance with the specific implementation guidance include information security specialists, architects and programme managers. Finally, it must be ensured that personnel in the security-related roles maintain the appropriate skills needed to carry out activities supporting the existing information systems and the implemented information security controls.

# Annex

## Glossary

| | |
|---|---|
| Annex I Party | Party included in Annex I to the Convention |
| Asset | Any item that is valuable to the systems supporting emissions trading under the Kyoto Protocol, including information, software, hardware, services, people and intangibles, such as reputation and public perception |
| Availability | Property of being accessible and usable upon demand by an authorized entity |
| Control | Means of managing risk, including policies, procedures, guidelines, practices and organizational structures, which can be administrative, logical (technical) or administrative in nature |
| Confidentiality | Property of being available or disclosed to authorized individuals, entities or processes only |
| Emissions trading | System of interconnected databases and applications, including the international transaction log (ITL), national registries connected to the ITL, the clean development mechanism registry, the compilation and accounting database, the Consolidated System of European Union Registries and the interconnecting data communication |
| Information security | Preservation of the confidentiality, integrity and availability of information |
| Information security management system | Part of the overall management system, based on a business risk approach, to establish, implement, operate, monitor, review, maintain and improve information security |
| Information security incident | Occurrence or change of a particular set of circumstances with one or more occurrences and various possible causes, referred to in this document as an "incident" |
| Information security risk | Effect of uncertainty on objectives expressed in terms of a combination of the consequences of an information security incident and the associated likelihood of occurrence |
| Integrity | Property of protecting the accuracy and completeness of assets |
| National registry | An electronic database maintained by a Party or a group of Parties for the transfer and tracking of units in accordance with the Kyoto Protocol rules |

| | |
|---|---|
| Risk assessment | Overall process of risk identification, risk analysis and risk evaluation |
| Risk analysis | Process to comprehend the nature of risk and to determine the risk level |
| Risk criteria | Terms of reference against which the significance of risk is evaluated |
| Risk evaluation | Process of comparing the results of risk analysis with risk criteria to determine whether the risk and/or its magnitude are acceptable or tolerable |
| Risk identification | Process of finding, recognizing and describing risks |
| Risk level | Magnitude of a risk expressed as "low", "medium" or "high", combining consequences and their likelihood |
| System | Software application, service, information technology asset or any other information handling component |
| Threat | Potential cause of an unwanted incident, which may result in harm to a system or organization |
| Vulnerability | Weakness of an asset or control that can be exploited by one or more threats |

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Framework Convention on Climate Change

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**Subsidiary Body for Implementation**
**Fortieth session**
**Bonn, 4–15 June 2014**

Item 4(b) of the provisional agenda
**Reporting from Parties not included in Annex I to the Convention**
**Provision of financial and technical support**

# Information provided by the Global Environment Facility on its activities relating to the preparation of biennial update reports

## Note by the secretariat

1. The Subsidiary Body for Implementation (SBI), at its thirty-ninth session, invited the Global Environment Facility (GEF) to continue to provide information on its activities relating to the preparation of biennial update reports by Parties not included in Annex I to the Convention, including information on the dates of requests for funding, approval of funding and disbursement of funds, for consideration at SBI 40.[1]

2. The required information referred to in paragraph 1 above, provided by the GEF, is reproduced in the annex as received from the GEF without formal editing.

[1] FCCC/SBI/2013/20, paragraph 34.

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# Annex

## STATUS RESOURCES APPROVED BY THE GEF SECRETARIAT FROM PARTIES NOT INCLUDED IN ANNEX I FOR THE PREPARATION OF BIENNIAL UPDATE REPORTS

*Important note: Information was compiled by the Implementing Agencies (UNDP and UNEP)*

*IA-Implementing Agency, EA-Executing Agency*

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 1. Argentina | World Bank | 29-Jan-2014 | Not applicable | Agency project document under preparation | 300,854 | Agency project document under preparation | 1st Quarter 2015 | 1st Quarter 2015 | ☑ Preparation of agency project document |
| 2. Armenia | UNDP | 5-Feb-2014 | Not appiicable | 14-Apr-2014 | 352,000 | 9-May-2014 | 1st Quarter 2015 | 1st Quarter 2015 | ☑ Project under Inception phase |
| 3. Azerbaijan | UNDP | 10-Jun-2013 | Not applicable | 2-Oct-2013 | 321,461 | 19-Dec-2013 | December 2014 | December 2014 | ☑ National Circumstances: 25-50% Completed<br>☑ GHG Inventories: 25-50% Completed<br>☑ Mitigation Actions and their effects: <25% Completed<br>☑ Domestic measurement reporting and verification (MRV): Not yet initiated<br>☑ Constraints & Gaps: Not yet initiated<br>☑ Other Information : Not yet initiated |

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 4. Bosnia and Herzegovina | UNDP | 22-Aug-2013 | Not applicable | 26-Sep-2013 | 352,000 | 4-Nov-2013 | September 2014 | December 2014 | ☑ National Circumstances: 25-50% Completed<br>☑ GHG Inventories: 25-50% Completed<br>☑ Mitigation Actions and their effects: 25-50% Completed<br>☑ Domestic measurement reporting and verification (MRV): <25% Completed<br>☑ Constraints & Gaps: <25% Completed<br>☑ Other Information : 25-50% Completed |
| 5. Brazil | UNDP | 2-May-2013 | 20-June-2014 | CEO endorsement pending | 7,528,500 | CEO endorsement pending | Not yet determined | Dec 2016 FBUR and Dec 2018 SBUR | ☑ BUR request included in the full project proposal. CEO Endorsement Request and Project Document under preparation. Note: This is a GEF full-size National Communication project with $1,000,000 support towards First and Second BUR. |
| 6. China | UNDP | 1-Oct-2012 | 15-Nov-2012 | CEO endorsement pending | 7,280,000 | CEO endorsement pending | Not yet determined | Not yet determined | ☑ BUR request included in the full project proposal. CEO Endorsement Request and Project Document under revision by GEF Secretariat.<br>Note: This is a GEF full-size National Communication project with $1,000,000 support towards BUR. |
| 7. Costa Rica | UNDP | 25-Sep-2013 | Not applicable | 16-Jan-2014 | 352,000 | 27-Feb-2014 | 1st Quarter 2015 | 1st Quarter 2015 | ☑ Project under Inception phase |
| 8. Cote D'Ivoire | UNEP | 18-Nov-2013 | Not applicable | 30-Apr-2014 | 352,000 | Disbursement pending | October 2015 | December 2015 | ☑ Awaiting updated banking details from EA, however project under inception phase |

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 9. Ecuador | UNDP | 18-Jul-2013 | 5-Sep-2013 | 21-Jan-2014 | 852,000 | 25-Feb-2014 | June 2015 | June 2015 | ☑ BUR funding included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program<br>☑ Project under Inception phase<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |
| 10. El Salvador | UNDP | 12-Sep-2013 | 7-Nov-2013 | Agency project document under preparation and finalization foreseen by end of May | 852,000 | Agency project document under preparation | Not yet determined | Not yet determined | ☑ BUR funding included in the EA Proposal for TNC, cleared by the Nov 2013 Work Program<br>☑ Agency project document under IA review for clearance<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |
| 11. Ghana | UNEP | 5-Jun-2013 | Not applicable | 23-Aug-2013 | 352,000 | 17-Sep-2013 | December 2014 | January 2015 | ☑ National Circumstances: >75% Completed<br>☑ GHG Inventories: >75% Completed<br>☑ Mitigation Actions and their effects: <25% Completed<br>☑ Domestic measurement reporting and verification (MRV): <25% Completed<br>☑ Constraints & Gaps: 25-50% Completed<br>☑ Other Information : Not yet initiated |

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| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 12. India | UNDP | 26-Jan-2012 | 29-Feb-2012 | 10-Jun-2013 | 9,010,604 | 25-Sep-2013 | December 2014 | December 2014 | ☑ BUR request included in the full project proposal CEO Endorsed 2 May 2013.<br>☑ National Circumstances: <25% Completed<br>☑ GHG Inventories: 25-50% Completed<br>☑ Mitigation Actions and their effects: Not yet initiated<br>☑ Domestic measurement reporting and verification (MRV): Not yet initiated<br>☑ Constraints & Gaps: <25% completed<br>☑ Other Information : <25% completed<br>Note: This is a GEF full-size Third National Communication project which includes $2,500,000 support towards BUR submission during 2014, 2016 and 2018. |
| 13. Indonesia | UNDP | 6-Apr-2012 | 6-Jun-2012 | 22-Nov-2013 | 4,500,000 | 6-May-2014 | December 2014 | December 2014 | ☑ BUR request included in the full project proposal CEO Endorsed 24 Oct 2013.<br>☑ National Circumstances: 25-50% Completed<br>☑ GHG Inventories: 50-75% Completed<br>☑ Mitigation Actions and their effects: 25-50% Completed<br>☑ Domestic measurement reporting and verification (MRV): <25% completed<br>☑ Constraints & Gaps: 25-50% completed<br>☑ Other Information : 25-50% completed<br>Note: This is a GEF full-size Third National Communication project which includes $400,000 support towards BUR. |

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 14. Jamaica | UNDP | 18-Jul-2013 | 5-Sep-2013 | Agency project document under preparation and finalization foreseen by mid-May[1] | 852,000 | Agency project document under preparation | Not yet determined | Not yet determined | ☑ BUR funding included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program<br>☑ Agency project document under IA review for clearance<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |
| 15. Kuwait | UNEP | Clearance GEF Secretariat pending | 10-Mar-2014 | Clearance of project proposal by GEF Secretariat pending | 852,000 | Clearance of project proposal by GEF Secretariat pending | October 2015 | December 2015 | ☑ Legal instrument cover the project sent to EA for signature<br>☑ BUR funding included in the EA Proposal for SNC<br>☑ Project however under Inception Phase.<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |
| 16. Lebanon | UNDP | 19-Jun-2013 | Not applicable | 25-Sep-2013 | 321,461 | 28-Oct-2013 | December 2014 | December 2014 | ☑ National Circumstances: 50-75% Completed<br>☑ GHG Inventories: 50-75% Completed<br>☑ Mitigation Actions and their effects: 50-75% Completed<br>☑ Domestic measurement reporting and verification (MRV): <25% completed<br>☑ Constraints & Gaps: 25-50% completed<br>☑ Other Information : 25-50% completed |

1 Consultation process delayed finalization of project document

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 17. Macedonia | UNDP | 29-May-2013 | Not applicable | 2-Sep-2013 | 321,461 | 30-Sep-2013 | December 2014 | December 2014 | ☑ National Circumstances: >75% Completed<br>☑ GHG Inventories: 50-75% Completed<br>☑ Mitigation Actions and their effects: 50-75% Completed<br>☑ Domestic measurement reporting and verification (MRV): <25% Completed<br>☑ Constraints & Gaps: 25-50% Completed<br>☑ Other Information : 25-50% Completed |
| 18. Malaysia | UNDP | 18-Jul-2013 | 5-Sep-2013 | 18-Mar-2014 | 852,000 | 6-May-2014 | December 2015 | December 2015 | ☑ BUR funding included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program<br>☑ Project under Inception phase<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |
| 19. Mauritania | UNEP | 29-Oct-2013 | Not applicable | 25-Feb-2014 | 352,000 | 28-Mar-2014 | August 2015 | October 2015 | ☑ Inception workshop held<br>☑ National Circumstances: <25% Completed<br>☑ GHG Inventories: <25% Completed<br>☑ Mitigation Actions and their effects: Not yet initiated<br>☑ Domestic measurement reporting and verification (MRV): Not yet initiated<br>☑ Constraints & Gaps: Not yet initiated<br>☑ Other Information : Not yet initiated |

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 20. Mexico | UNDP | 29-May-2013 | Not applicable | 24-Sep-2013 | 321,461 | 25-Nov-2013 | December 2014 | December 2014 | ☑ National Circumstances: Not yet initiated<br>☑ GHG Inventories: 25-50% Completed<br>☑ Mitigation Actions and their effects: <25% Completed<br>☑ Domestic measurement reporting and verification (MRV): <25% completed<br>☑ Constraints & Gaps: <25% Completed<br>☑ Other Information : Not yet initiated |
| 21. Montenegro | UNDP | 25-Feb-2014 | Not applicable | 1-May-2014 | 352,000 | Disbursement pending | December 2014 | December 2014 | ☑ Project Document under IA review for clearance |
| 22. Namibia | UNDP | 16-Sep-2013 | Not applicable | 25-Nov-2013 | 352,000 | 23-Jan-2014 | December 2014 | December 2014 | ☑ National Circumstances: >75% Completed<br>☑ GHG Inventories: 25-50% Completed<br>☑ Mitigation Actions and their effects: Not yet initiated<br>☑ Domestic measurement reporting and verification (MRV): Not yet initiated<br>☑ Constraints & Gaps: <25% Completed<br>☑ Other Information : 25-50% Completed |
| 23. Oman | UNEP | Clearance GEF Secretariat pending | Not applicable | Clearance of project proposal by GEF Secretariat pending | 852,000 | Clearance of project proposal by GEF Secretariat pending | October 2015 | December 2015 | ☑ Legal instrument cover the project sent to EA for signature<br>☑ BUR funding included in the EA Proposal for SNC<br>☑ Project under Inception Phase. Note: This project includes $500,000 for NC and $352,000 for BUR. |

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 24. Paraguay | UNDP | 18-Jul-2013 | 5-Sep-2013 | 11-Mar-2014 | 852,000 | 15-Apr-2014 | December 2014 | December 2014 | ☑ BUR funding included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program<br>☑ Project under Inception phase<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |
| 25. Peru | UNDP | 25-Sep-2013 | Not applicable | 12-Nov-2013 | 352,000 | Disbursement pending | Not yet determined | Not yet determined | ☑ Project under Inception phase |
| 26. Republic of Moldova | UNEP | 5-Feb-2014 | Not applicable | 18-Apr-2014 | 852,000 | 9-May-2014 | September 2015 | October 2015 | ☑ Project under Inception phase<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |
| 27. Serbia | UNDP | 25-Sep-2013 | Not applicable | 17-Feb-2014 | 352,000 | Disbursement pending[2] | March 2015 | March 2015 | ☑ Project under Inception phase |
| 28. South Africa | UNEP | 21-Feb-2013 | 12-Apr-2013 | CEO Endorsement Request and project document under preparation | 4,006,650 | CEO Endorsement Request and project document under preparation | Not yet determined | Not yet determined | ☑ Note: This is a GEF full-size Third National Communication project which includes $352,000 support towards BUR. |
| 29. Thailand | UNDP | 18-Jul-2013 | 5-Sep-2013 | 23-Apr-2014 | 852,000 | Disbursement pending | Not yet determined | Not yet determined | ☑ BUR funding included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program<br>☑ Project Document under IA review for clearance<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |

2 Change in Government resulting in change of implementing partner

| Party | Agency | Date of Project Clearance by GEF Secretariat | Date of Approval by GEF Council | Date of approval by Implementing Agency (IA) of BUR project | Total Amount Approved US$ | Date of initial disbursement of funds by IA | Approximate date of completion of Draft BUR Report | Approximate date of BUR submission to the COP | Status of project activities |
|---|---|---|---|---|---|---|---|---|---|
| 30. Uruguay | UNDP | 27-Dec-2012 | Not applicable | 20-Jun-2013 | 320,000 | 2-Sep-2013 | December 2014 | December 2014 | ☑ National Circumstances: 25-50% Completed<br>☑ GHG Inventories: 25-50% Completed<br>☑ Mitigation Actions and their effects: 25-50% Completed<br>☑ Domestic measurement reporting and verification (MRV): Not yet initiated<br>☑ Constraints & Gaps: Not yet initiated<br>☑ Other Information : Not yet initiated |
| 31. Vietnam | UNEP | 29-Jan-2014 | Not applicable | Agency project document under preparation | 352,000 | Agency project document under preparation | September 2015 | October 2015 | ☑ Project under Inception Phase. |
| 32. Yemen | UNDP | 18-Jul-2013 | 5-Sep-2013 | 4-Apr-2014 | 852,000 | Disbursement pending | December 2015 | December 2015 | ☑ BUR funding included in the EA Proposal for TNC, cleared by the August 2013 Special Inter-sessional Work Program<br>☑ Project under Inception phase<br>Note: This project includes $500,000 for NC and $352,000 for BUR. |

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**Fortieth session**
**Bonn, 4–15 June 2014**

Item 18(a) of the provisional agenda
**Administrative, financial and institutional matters**
**Financial matters**

# Status of contributions as at 15 May 2014

## Note by the secretariat

1. This document contains information on the status of receipt of contributions to the UNFCCC trust funds as at 15 May 2014. The status of indicative contributions to the Kyoto Protocol is reported separately from the status of contributions to the Convention.

2. Table 1 lists all 196 Parties to the Convention and shows the contributions expected and received during 2014 and the outstanding amounts for 2014 (in euros) payable to the Trust Fund for the Core Budget of the UNFCCC. Sixty-seven Parties have paid their contributions for 2014, representing 33.9 per cent of the indicative contributions for this year. Table 2 shows the outstanding contributions for the years 1996–2009 (in United States dollars). In table 3, Parties are grouped according to their status of contributions paid to the core budget for the Convention.

3. Table 4 lists all 192 Parties to the Kyoto Protocol and shows the contributions expected and received during 2014 and the outstanding amounts for 2014 (in euros) payable to the Trust Fund for the Core Budget of the UNFCCC. Fifty-five Parties have paid their contributions for 2014, representing 29.5 per cent of the indicative contributions for this year. Table 5 shows the outstanding contributions for the years 2005–2009 (in United States dollars). In table 6, Parties are grouped according to their status of contributions paid to the core budget for the Kyoto Protocol.

4. The status of payment of fees for the international transaction log is shown in table 7. As at 15 May 2014, 100 per cent of the fees for 2013 and 62.2 per cent of the fees for 2014 have been paid.

5. Table 8 shows the voluntary contributions to the Trust Fund for Supplementary Activities received in 2014, which amount to USD 5.5 million, as well as the fees related to joint implementation, which amount to USD 35,000. Table 9 shows voluntary contributions to the Trust Fund for Participation in the UNFCCC Process, which amount to USD 0.38 million.

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6. The various clean development mechanism fees paid for 2014 are listed in table 10 and amount to USD 2.5 million.

Table 1

**Trust Fund for the Core Budget of the UNFCCC (Convention): status of contributions as at 15 May 2014**

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 1996–2009 as at 15 May 2014 (USD) (see table 2)* |
|---|---|---|---|---|---|---|
| Afghanistan | 12 | 851 | 862 | | 1 | |
| Albania | (1 702) | 1 702 | 1 702 | 1 702 | | |
| Algeria | | 23 322 | 23 322 | | | |
| Andorra | 21 | 1 362 | 1 362 | | 21 | |
| Angola | (1 702) | 1 702 | | | | |
| Antigua and Barbuda | 1 104 | 340 | | | 1 444 | |
| Argentina | (1) | 73 541 | | | 73 540 | |
| Armenia | | 1 192 | | | 1 192 | |
| Australia | | 353 065 | 353 065 | | | |
| Austria | | 135 847 | 135 847 | | | |
| Azerbaijan | | 6 809 | 6 809 | | | |
| Bahamas | (236) | 2 894 | | | 2 658 | |
| Bahrain | 20 047 | 6 639 | | | 26 686 | |
| Bangladesh | 1 519 | 1 702 | 3 352 | 131 | | |
| Barbados | | 1 362 | 1 362 | | | |
| Belarus | | 9 533 | | | 9 533 | |
| Belgium | (78 504) | 169 894 | | | 91 390 | |
| Belize | 151 | 175 | | | 326 | |
| Benin | 1 658 | 511 | | | 2 169 | 133 |
| Bhutan | (318) | 175 | | 143 | | |
| Bolivia (Plurinational State of) | 1 328 | 1 532 | | | 2 860 | |
| Bosnia and Herzegovina | | 2 894 | | | 2 894 | |
| Botswana | (243) | 2 894 | 2 651 | | | |
| Brazil | | 499 467 | | | 499 467 | |
| Brunei Darussalam | 6 926 | 4 426 | | | 11 352 | |
| Bulgaria | | 8 001 | 8 001 | | | |
| Burkina Faso | 1 658 | 511 | 241 | | 1 928 | |
| Burundi | 567 | 175 | | | 742 | 2 203 |
| Cabo Verde | 567 | 175 | 308 | | 434 | |
| Cambodia | | 681 | | | 681 | |
| Cameroon | 6 225 | 2 043 | | | 8 268 | |
| Canada | (507 978) | 507 978 | | | | |
| Central African Republic | (15) | 175 | | | 160 | |
| Chad | 1 104 | 340 | | | 1 444 | 3 005 |

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 1996–2009 as at 15 May 2014 (USD) (see table 2)* |
|---|---|---|---|---|---|---|
| Chile | (996) | 56 858 | 55 862 | | | |
| China | | 876 365 | | | 876 365 | |
| Colombia | (3 676) | 44 091 | 39 661 | | 754 | |
| Comoros | 567 | 175 | | | 742 | 30 |
| Congo | | 851 | | | 851 | |
| Cook Islands | 153 | 175 | | | 328 | |
| Costa Rica | 8 316 | 6 469 | | | 14 785 | |
| Côte d'Ivoire | 5 671 | 1 873 | | | 7 544 | 1 459 |
| Croatia | | 21 449 | 21 449 | | | |
| Cuba | 38 932 | 11 746 | | | 50 678 | 9 928 |
| Cyprus | | 8 001 | 8 001 | | | |
| Czech Republic | (65 710) | 65 710 | | | | |
| Democratic People's Republic of Korea | 3 719 | 1 021 | | | 4 740 | |
| Democratic Republic of the Congo | 1 658 | 511 | | | 2 169 | 832 |
| Denmark | (163 117) | 114 908 | | 48 209 | | |
| Djibouti | 567 | 175 | | | 742 | 960 |
| Dominica | 567 | 175 | | | 742 | 3 005 |
| Dominican Republic | 23 647 | 7 661 | | | 31 308 | 29 960 |
| Ecuador | | 7 490 | | | 7 490 | |
| Egypt | 19 772 | 22 811 | | | 42 583 | |
| El Salvador | 5 223 | 2 724 | | | 7 947 | |
| Equatorial Guinea | 4 715 | 1 702 | | | 6 417 | |
| Eritrea | 151 | 175 | 326 | | | |
| Estonia | | 6 809 | 6 809 | | | |
| Ethiopia | 1 476 | 1 702 | 2 245 | | 933 | |
| European Union | | 436 493 | 436 493 | | | |
| Fiji | | 511 | 511 | | | |
| Finland | (11 432) | 88 351 | 76 919 | | | |
| France | | 952 119 | | | 952 119 | |
| Gabon | 5 060 | 3 405 | | | 8 465 | |
| Gambia | 567 | 175 | | | 742 | 472 |
| Georgia | 147 | 1 192 | | | 1 339 | |
| Germany | (212 652) | 1 215 641 | 1 002 989 | | | |
| Ghana | (5 127) | 2 383 | | 2 744 | | |
| Greece | (12 905) | 108 609 | | | 95 704 | |
| Grenada | 567 | 175 | | | 742 | |

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 1996–2009 as at 15 May 2014 (USD) (see table 2)* |
|---|---|---|---|---|---|---|
| Guatemala | 10 531 | 4 596 | 2 329 | | 12 798 | |
| Guinea | 960 | 175 | | | 1 135 | 5 259 |
| Guinea-Bissau | 567 | 175 | | | 742 | 2 953 |
| Guyana | (175) | 175 | | | | |
| Haiti | 1 658 | 511 | | | 2 169 | 308 |
| Honduras | 1 454 | 1 362 | | | 2 816 | |
| Hungary | (45 282) | 45 282 | | | | |
| Iceland | (3 697) | 4 596 | 887 | | 12 | |
| India | 245 861 | 113 376 | | | 359 237 | |
| Indonesia | 51 054 | 58 901 | | | 109 955 | |
| Iran (Islamic Republic of) | 146 879 | 60 603 | | | 207 482 | 40 542 |
| Iraq | | 11 576 | | | 11 576 | |
| Ireland | (71 158) | 71 158 | | | | |
| Israel | | 67 413 | | | 67 413 | |
| Italy | 629 747 | 757 201 | | | 1 386 948 | |
| Jamaica | | 1 873 | | | 1 873 | |
| Japan | | 1 844 146 | 410 724 | | 1 433 422 | |
| Jordan | 1 622 | 3 745 | | | 5 367 | |
| Kazakhstan | 43 | 20 598 | | | 20 641 | |
| Kenya | | 2 213 | | | 2 213 | |
| Kiribati | 151 | 175 | 240 | | 86 | |
| Kuwait | 108 602 | 46 474 | | | 155 076 | |
| Kyrgyzstan | 391 | 340 | | | 731 | |
| Lao People's Democratic Republic | 711 | 340 | | | 1 051 | 316 |
| Latvia | (19 880) | 8 001 | | 11 879 | | |
| Lebanon | 19 560 | 7 150 | 1 339 | | 25 371 | |
| Lesotho | (175) | 175 | | | | |
| Liberia | 567 | 175 | | | 742 | 776 |
| Libya | 73 190 | 24 173 | | | 97 363 | 52 860 |
| Liechtenstein | (3) | 1 532 | 1 529 | | | |
| Lithuania | | 12 427 | 12 427 | | | |
| Luxembourg | 11 952 | 13 789 | 11 952 | | 13 789 | |
| Madagascar | 443 | 511 | | | 954 | |
| Malawi | 593 | 340 | | | 933 | |
| Malaysia | | 47 836 | 47 836 | | | |
| Maldives | (175) | 175 | 295 | 295 | | |
| Mali | 739 | 681 | | | 1 420 | |

| Party | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 1996–2009 as at 15 May 2014 (USD) (see table 2)* |
|---|---|---|---|---|---|---|
| Malta | 2 361 | 2 724 | | | 5 085 | |
| Marshall Islands | 151 | 175 | | | 326 | |
| Mauritania | 711 | 340 | | | 1 051 | 2 570 |
| Mauritius | | 2 213 | 2 213 | | | |
| Mexico | (124 039) | 313 571 | | | 189 532 | |
| Micronesia (Federated States of) | 333 | 175 | 182 | | 326 | |
| Monaco | | 2 043 | 2 043 | | | |
| Mongolia | (6) | 511 | 261 | | 244 | |
| Montenegro | 1 384 | 851 | | | 2 235 | |
| Morocco | 9 156 | 10 555 | | | 19 711 | |
| Mozambique | | 511 | | | 511 | |
| Myanmar | (529) | 1 702 | 1 173 | | | |
| Namibia | (2 417) | 1 702 | | 715 | | |
| Nauru | 567 | 175 | | | 742 | 1 640 |
| Nepal | 3 314 | 1 021 | | | 4 335 | 4 614 |
| Netherlands | | 281 567 | 281 567 | | | |
| New Zealand | (4 873) | 43 069 | 38 196 | | | |
| Nicaragua | 1 658 | 511 | | | 2 169 | 848 |
| Niger | 1 104 | 340 | | | 1 444 | 1 088 |
| Nigeria | 44 865 | 15 321 | | | 60 186 | 22 080 |
| Niue | | 175 | 175 | | | |
| Norway | | 144 869 | 205 648 | 60 779 | | |
| Oman | 2 577 | 17 364 | 19 941 | | | |
| Pakistan | 42 460 | 14 470 | 7 254 | | 49 676 | |
| Palau | 567 | 175 | | | 742 | 184 |
| Panama | (4 282) | 4 426 | | | 144 | |
| Papua New Guinea | 1 399 | 681 | | | 2 080 | 1 378 |
| Paraguay | 4 310 | 1 702 | 570 | | 5 442 | |
| Peru | | 19 917 | | | 19 917 | |
| Philippines | | 26 216 | | | 26 216 | |
| Poland | (153 816) | 156 786 | 2 970 | | | |
| Portugal | 69 941 | 80 691 | | | 150 632 | |
| Qatar | 30 839 | 35 579 | | | 66 418 | |
| Republic of Korea | | 339 447 | | | 339 447 | |
| Republic of Moldova | (725) | 511 | | 214 | | |
| Romania | | 38 473 | 38 473 | | | |
| Russian Federation | | 415 031 | 415 031 | | | |

| Party | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 1995–2009 as at 15 May 2014 (USD) (see table 2)* |
|---|---|---|---|---|---|---|
| Rwanda | 51 | 340 | 391 | | | |
| Saint Kitts and Nevis | 151 | 175 | 395 | 69 | | |
| Saint Lucia | 306 | 175 | | | 481 | |
| Saint Vincent and the Grenadines | 567 | 175 | | | 742 | 2 140 |
| Samoa | 151 | 175 | | | 326 | |
| San Marino | (1) | 511 | 510 | | | |
| Sao Tome and Principe | 567 | 175 | | | 742 | 933 |
| Saudi Arabia | | 147 082 | | | 147 082 | |
| Senegal | 3 314 | 1 021 | | | 4 335 | 1 073 |
| Serbia | | 6 809 | 6 809 | | | |
| Seychelles | | 175 | 244 | 69 | | |
| Sierra Leone | 567 | 175 | | | 742 | 2 810 |
| Singapore | | 65 370 | 65 370 | | | |
| Slovakia | (17 934) | 29 110 | | | 11 176 | |
| Slovenia | | 17 023 | | | 17 023 | |
| Solomon Islands | 427 | 175 | | | 602 | |
| Somalia | 567 | 175 | | | 742 | |
| South Africa | (3 220) | 63 327 | 60 107 | | | |
| South Sudan (entry into force 18 May 2014)[a] | | 426 | | | 426 | |
| Spain | | 506 106 | | | 506 106 | |
| Sri Lanka | 9 826 | 4 256 | | | 14 082 | |
| Sudan | 5 524 | 1 702 | | | 7 226 | |
| Suriname | 590 | 681 | | | 1 271 | |
| Swaziland | (1) | 511 | | | 510 | |
| Sweden | | 163 425 | 163 425 | | | |
| Switzerland | (20 220) | 178 235 | 158 015 | | | |
| Syrian Arab Republic | 15 436 | 6 128 | | | 21 564 | 1 490 |
| Tajikistan | (511) | 511 | | | | |
| Thailand | | 40 686 | 40 686 | | | |
| the former Yugoslav Republic of Macedonia | 147 | 1 362 | 1 509 | | | |
| Timor-Leste | | 340 | | | 340 | |
| Togo | 151 | 175 | | | 326 | |
| Tonga | 567 | 175 | | | 742 | 170 |
| Trinidad and Tobago | (12) | 7 490 | | | 7 478 | |
| Tunisia | | 6 128 | | | 6 128 | |
| Turkey | | 226 071 | | | 226 071 | |

| Party | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 1996–2009 as at 15 May 2014 (USD) (see table 2)* |
|---|---|---|---|---|---|---|
| Turkmenistan | 2 804 | 3 234 | | | 6 038 | |
| Tuvalu | 567 | 175 | 63 | | 679 | |
| Uganda | 40 | 1 021 | | | 1 061 | |
| Ukraine | 14 608 | 16 853 | | | 31 461 | |
| United Arab Emirates | | 101 289 | | | 101 289 | |
| United Kingdom of Great Britain and Northern Ireland | (343 899) | 881 642 | | | 537 743 | |
| United Republic of Tanzania | 4 567 | 1 532 | | | 6 099 | 1 463 |
| United States of America | 23 977 | 3 745 150 | | | 3 769 127 | |
| Uruguay | 7 673 | 8 852 | 6 453 | | 10 072 | |
| Uzbekistan | 297 | 2 554 | | | 2 851 | |
| Vanuatu | 527 | 175 | | | 702 | |
| Venezuela (Bolivarian Republic of) | 180 337 | 106 737 | | | 287 074 | |
| Viet Nam | 6 304 | 7 150 | | | 13 454 | |
| Yemen | 5 524 | 1 702 | | | 7 226 | 12 603 |
| Zambia | (597) | 1 021 | | | 424 | |
| Zimbabwe | 1 510 | 340 | | | 1 850 | 7 018 |
| **Total** | **93 037** | **17 460 153** | **4 199 381** | **126 949** | **13 480 758** | **219 103** |

[a] South Sudan's pro rata contribution for 2014 will be credited as miscellaneous income per rule 3.8 of the United Nations Financial Regulations and Rules.

Table 2

**Trust Fund for the Core Budget of the UNFCCC (Convention): status of outstanding contributions for the years 1996–2009 as at 15 May 2014**

(United States dollars)

| Party | *Arrears for 1996–2009 and advances as at 31 December 2013* | *Amount paid in 2014* | *Amount converted into euros and offset against euro contributions for 2014* | *Contributions received in advance for future years* | *Outstanding contributions as at 15 May 2014* |
|---|---|---|---|---|---|
| Algeria | | 14 | | 14 | |
| Angola | (6 063) | | 3 614 | 2 449 | |
| Benin | 133 | | | | 133 |
| Burkina Faso | 425 | 425 | | | |
| Burundi | 2 203 | | | | 2 203 |
| Cabo Verde | 178 | 178 | | | |
| Chad | 3 005 | | | | 3 005 |
| Chile | | 66 828 | | 66 828 | |
| Comoros | 30 | | | | 30 |
| Côte d'Ivoire | 1 459 | | | | 1 459 |

| *Party* | *Arrears for 1996–2009 and advances as at 31 December 2013* | *Amount paid in 2014* | *Amount converted into euros and offset against euro contributions for 2014* | *Contributions received in advance for future years* | *Outstanding contributions as at 15 May 2014* |
|---|---|---|---|---|---|
| Cuba | 9 928 | | | | 9 928 |
| Democratic Republic of the Congo | 832 | | | | 832 |
| Djibouti | 960 | | | | 960 |
| Dominica | 3 005 | | | | 3 005 |
| Dominican Republic | 29 960 | | | | 29 960 |
| Gambia | 472 | | | | 472 |
| Guinea | 5 259 | | | | 5 259 |
| Guinea-Bissau | 2 953 | | | | 2 953 |
| Guyana | (4 075) | | 242 | 3 833 | |
| Haiti | 308 | | | | 308 |
| Iran (Islamic Republic of) | 40 542 | | | | 40 542 |
| Lao People's Democratic Republic | 316 | | | | 316 |
| Lebanon | 2 749 | 2 749 | | | |
| Lesotho | (1 010) | | 339 | 671 | |
| Liberia | 776 | | | | 776 |
| Libya | 66 351 | 13 491 | | | 52 860 |
| Maldives | (850) | | 339 | 511 | |
| Mauritania | 2 570 | | | | 2 570 |
| Nauru | 1 640 | | | | 1 640 |
| Nepal | 4 614 | | | | 4 614 |
| Nicaragua | 848 | | | | 848 |
| Niger | 1 088 | | | | 1 088 |
| Nigeria | 22 080 | | | | 22 080 |
| Palau | 184 | | | | 184 |
| Papua New Guinea | 1 378 | | | | 1 378 |
| Paraguay | 770 | 770 | | | |
| Saint Vincent and the Grenadines | 2 140 | | | | 2 140 |
| Sao Tome and Principe | 933 | | | | 933 |
| Senegal | 1 073 | | | | 1 073 |
| Sierra Leone | 2 810 | | | | 2 810 |
| Syrian Arab Republic | 1 490 | | | | 1 490 |
| Tajikistan | (2 782) | | 1 084 | 1 698 | |
| Tonga | 170 | | | | 170 |
| Tuvalu | 184 | 184 | | | |
| United Republic of Tanzania | 1 463 | | | | 1 463 |
| Yemen | 12 603 | | | | 12 603 |

| *Party* | *Arrears for 1996–2009 and advances as at 31 December 2013* | *Amount paid in 2014* | *Amount converted into euros and offset against euro contributions for 2014* | *Contributions received in advance for future years* | *Outstanding contributions as at 15 May 2014* |
|---|---|---|---|---|---|
| Zimbabwe | 7 018 | | | | 7 018 |
| **Total** | **222 120** | **84 639** | **5 618** | **76 004** | **219 103** |

Table 3

**List of Parties according to their status of contributions[a] to the Trust Fund for the Core Budget of the UNFCCC (Convention) as at 15 May 2014**

**(a) Parties that have paid contributions for the years up to and including 2014**

| | | | |
|---|---|---|---|
| Afghanistan | Cyprus | Liechtenstein | Romania |
| Albania | Czech Republic | Lithuania | Russian Federation |
| Algeria | Denmark | Malaysia | Rwanda |
| Andorra | Eritrea | Maldives | Saint Kitts and Nevis |
| Angola | Estonia | Mauritius | San Marino |
| Austria | European Union | Monaco | Serbia |
| Australia | Fiji | Mongolia | Seychelles |
| Azerbaijan | Finland | Myanmar | Singapore |
| Bangladesh | Germany | Namibia | Slovakia |
| Barbados | Ghana | Netherlands | South Africa |
| Bhutan | Guyana | New Zealand | Sweden |
| Botswana | Hungary | Niue | Switzerland |
| Bulgaria | Iceland | Norway | Tajikistan |
| Canada | Ireland | Oman | Thailand |
| Chile | Kiribati | Panama | the former Yugoslav Republic of Macedonia |
| Colombia | Latvia | Poland | Zambia |
| Croatia | Lesotho | Republic of Moldova | |

**Total: 67 Parties**

[a] For the purpose of these tables only, a Party is considered not to have a contribution outstanding for a particular year if it has paid 50 per cent or more of that contribution.

**(b) Parties with outstanding contributions for 2014**

| | | | |
|---|---|---|---|
| Argentina | Ecuador | Kenya | Swaziland |
| Armenia | Ethiopia | Luxembourg | Timor-Leste |
| Bahamas | France | Mexico | Trinidad and Tobago |
| Belarus | Georgia | Mozambique | Tunisia |
| Belgium | Greece | Peru | Turkey |
| Bosnia and Herzegovina | Iraq | Philippines | Uganda |
| Brazil | Israel | Republic of Korea | United Arab Emirates |
| Cambodia | Jamaica | Saudi Arabia | United Kingdom of Great Britain and Northern Ireland |
| Central African Republic | Japan | Slovenia | United States of America |
| China | Jordan | South Sudan (entry into force 18 May 2014) | Uzbekistan |

| | | | |
|---|---|---|---|
| Congo | Kazakhstan | Spain | |
| **Total: 43 Parties** | | | |

**(c) Parties with outstanding contributions for the years 2013 and 2014**

| | | | |
|---|---|---|---|
| Belize | Italy | Morocco | Togo |
| Bolivia (Plurinational State of) | Madagascar | Portugal | Turkmenistan |
| Cook Islands | Mali | Qatar | Ukraine |
| Egypt | Malta | Samoa | Uruguay |
| Honduras | Marshall Islands | Suriname | Viet Nam |
| Indonesia | Micronesia (Federated States of) | | |
| **Total: 22 Parties** | | | |

**(d) Parties with outstanding contributions for the years 2012–2014**

| | | | |
|---|---|---|---|
| Brunei Darussalam | El Salvador | Guatemala | Montenegro |
| Cabo Verde | Gabon | Kyrgyzstan | Saint Lucia |
| Costa Rica | | | |
| **Total: 9 Parties** | | | |

**(e) Parties with outstanding contributions for the years 2011–2014**

| | | | |
|---|---|---|---|
| Burkina Faso | Malawi | Paraguay | Sri Lanka |
| India | Pakistan | Solomon Islands | Venezuela (Bolivarian Republic of) |
| Kuwait | | | |
| **Total: 9 Parties** | | | |

**(f) Parties with outstanding contributions for the years 2010–2014**

| | | | |
|---|---|---|---|
| Antigua and Barbuda | Comoros | Grenada | Sudan |
| Bahrain | Democratic People's Republic of Korea | Lebanon | Tuvalu |
| Cameroon | Equatorial Guinea | Somalia | Vanuatu |
| **Total: 12 Parties** | | | |

**(g) Parties with outstanding contributions for the years 2004–2014**

| | | | |
|---|---|---|---|
| Benin | Haiti | Nicaragua | Senegal |
| Côte d'Ivoire | Iran (Islamic Republic of) | Nigeria | Syrian Arab Republic |
| Cuba | Lao People's Democratic Republic | Palau | Tonga |

| | | | |
|---|---|---|---|
| Democratic Republic of the Congo | Liberia | Papua New Guinea | United Republic of Tanzania |
| Djibouti | Libya | Sao Tome and Principe | Zimbabwe |
| Gambia | | | |
| **Total: 21 Parties** | | | |

**(h) Parties with outstanding contributions for the years 1996–2014**

| | | | |
|---|---|---|---|
| Burundi | Guinea | Nauru | Saint Vincent and the Grenadines |
| Chad | Guinea-Bissau | Nepal | Sierra Leone |
| Dominica | Mauritania | Niger | Yemen |
| Dominican Republic | | | |
| **Total: 13 Parties** | | | |

Table 4
**Trust Fund for the Core Budget of the UNFCCC (Kyoto Protocol): status of contributions as at 15 May 2014**

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 2005–2009 as at 15 May 2014 (USD) (see table 5)* |
|---|---|---|---|---|---|---|
| Afghanistan | 231 | 459 | 231 | | 459 | |
| Albania | (703) | 918 | 918 | 703 | | |
| Algeria | 285 | 12 578 | 12 863 | | | |
| Angola | (918) | 918 | | | | |
| Antigua and Barbuda | 747 | 184 | | | 931 | |
| Argentina | | 39 664 | | | 39 664 | |
| Armenia | | 643 | | | 643 | |
| Australia | | 190 422 | 190 422 | | | |
| Austria | | 73 267 | 73 173 | | 94 | |
| Azerbaijan | | 3 673 | 3 673 | | | |
| Bahamas | | 1 561 | | | 1 561 | |
| Bahrain | 14 561 | 3 581 | | | 18 142 | |
| Bangladesh | 1 439 | 918 | 2 357 | | | |
| Barbados | 9 | 735 | 561 | | 183 | |
| Belarus | | 5 142 | | | 5 142 | |
| Belgium | | 91 630 | | | 91 630 | |
| Belize | 95 | 71 | | | 166 | |
| Benin | 1 121 | 275 | | | 1 396 | 576 |
| Bhutan | (71) | 71 | | | | |
| Bolivia (Plurinational State of) | 833 | 826 | 833 | | 826 | |
| Bosnia and Herzegovina | 716 | 1 561 | | | 2 277 | |

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 2005–2009 as at 15 May 2014 (USD) (see table 5)* |
|---|---|---|---|---|---|---|
| Botswana | | 1 561 | 1 561 | | | |
| Brazil | 246 627 | 269 381 | | | 516 008 | |
| Brunei Darussalam | 4 953 | 2 387 | | | 7 340 | |
| Bulgaria | | 4 315 | 4 315 | | | |
| Burkina Faso | 1 121 | 275 | | | 1 396 | |
| Burundi | 374 | 71 | | | 445 | 489 |
| Cabo Verde | 374 | 71 | 190 | | 255 | |
| Cambodia | | 367 | | | 367 | |
| Cameroon | 4 200 | 1 102 | | | 5 302 | 503 |
| Central African Republic | | 71 | | | 71 | |
| Chad | 747 | 184 | | | 931 | |
| Chile | | 30 666 | 30 666 | | | |
| China | 469 656 | 472 657 | | | 942 313 | |
| Colombia | | 23 780 | | | 23 780 | |
| Comoros | 374 | 71 | | | 445 | 92 |
| Congo | 287 | 459 | | | 746 | |
| Cook Islands | 184 | 71 | | | 255 | |
| Costa Rica | 6 610 | 3 489 | | | 10 099 | |
| Côte d'Ivoire | 3 827 | 1 010 | | | 4 837 | 2 218 |
| Croatia | | 11 569 | 11 569 | | | |
| Cuba | 26 325 | 6 335 | | | 32 660 | 6 247 |
| Cyprus | | 4 315 | 4 315 | | | |
| Czech Republic | (35 440) | 35 440 | | | | |
| Democratic People's Republic of Korea | 2 521 | 551 | | | 3 072 | |
| Democratic Republic of the Congo | 1 121 | 275 | | | 1 396 | 696 |
| Denmark | (61 974) | 61 974 | | | | |
| Djibouti | 374 | 71 | | | 445 | 489 |
| Dominica | 374 | 71 | | | 445 | 474 |
| Dominican Republic | 15 959 | 4 132 | | | 20 091 | 12 128 |
| Ecuador | 1 088 | 4 040 | | | 5 128 | |
| Egypt | 12 429 | 12 303 | | | 24 732 | |
| El Salvador | 4 991 | 1 469 | | | 6 460 | |
| Equatorial Guinea | 2 958 | 918 | | | 3 876 | 23 |
| Eritrea | 174 | 71 | 233 | | 12 | |
| Estonia | | 3 673 | 3 673 | | | |

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 2005–2009 as at 15 May 2014 (USD) (see table 5)* |
|---|---|---|---|---|---|---|
| Ethiopia | 1 090 | 918 | 1 090 | | 918 | |
| European Union | | 176 559 | 176 559 | | | |
| Fiji | | 275 | 275 | | | |
| Finland | | 47 651 | 47 651 | | | |
| France | | 513 514 | | | 513 514 | |
| Gabon | 4 266 | 1 836 | | | 6 102 | |
| Gambia | 374 | 71 | | | 445 | 414 |
| Georgia | 90 | 643 | | | 733 | |
| Germany | | 655 641 | 655 641 | | | |
| Ghana | (1 285) | 1 285 | | | | |
| Greece | | 58 577 | | | 58 577 | |
| Grenada | 374 | 71 | | | 445 | |
| Guatemala | 7 674 | 2 479 | 2 313 | | 7 840 | |
| Guinea | 655 | 71 | | | 726 | 867 |
| Guinea-Bissau | 374 | 71 | | | 445 | 409 |
| Guyana | (71) | 71 | | | | |
| Haiti | 1 121 | 275 | | | 1 396 | 227 |
| Honduras | 1 468 | 735 | | | 2 203 | |
| Hungary | | 24 422 | 24 422 | | | |
| Iceland | | 2 479 | | | 2 479 | |
| India | 183 777 | 61 148 | | | 244 925 | |
| Indonesia | 31 140 | 31 768 | | | 62 908 | |
| Iran (Islamic Republic of) | 98 387 | 32 686 | | | 131 073 | 20 757 |
| Iraq | 4 | 6 243 | | | 6 247 | |
| Ireland | (38 378) | 38 378 | | | | |
| Israel | | 36 358 | | | 36 358 | |
| Italy | 411 894 | 408 387 | | | 820 281 | |
| Jamaica | | 1 010 | | | 1 010 | |
| Japan | | 994 618 | | | 994 618 | |
| Jordan | 740 | 2 020 | | | 2 760 | |
| Kazakhstan | 36 | 11 109 | | | 11 145 | |
| Kenya | 539 | 1 194 | | | 1 733 | |
| Kiribati | 126 | 71 | 126 | | 71 | |
| Kuwait | 73 881 | 25 065 | | | 98 946 | |
| Kyrgyzstan | 276 | 184 | | | 460 | |

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 2005–2009 as at 15 May 2014 (USD) (see table 5)* |
|---|---|---|---|---|---|---|
| Lao People's Democratic Republic | 466 | 184 | | | 650 | 184 |
| Latvia | (4 929) | 4 315 | | 614 | | |
| Lebanon | 13 154 | 3 856 | | | 17 010 | |
| Lesotho | (71) | 71 | | | | |
| Liberia | 374 | 71 | | | 445 | 489 |
| Libya | 49 369 | 13 038 | | | 62 407 | 22 982 |
| Liechtenstein | | 826 | 626 | | 200 | |
| Lithuania | | 6 702 | 6 702 | | | |
| Luxembourg | 8 025 | 7 437 | 8 025 | | 7 437 | |
| Madagascar | 359 | 275 | | | 634 | |
| Malawi | 466 | 184 | | | 650 | |
| Malaysia | 30 | 25 800 | 25 761 | | 69 | |
| Maldives | (71) | 71 | | | | |
| Mali | 643 | 367 | | | 1 010 | |
| Malta | 1 482 | 1 469 | | | 2 951 | |
| Marshall Islands | 103 | 71 | | | 174 | |
| Mauritania | 466 | 184 | | | 650 | 440 |
| Mauritius | | 1 194 | 1 194 | | | |
| Mexico | | 169 121 | | | 169 121 | |
| Micronesia (Federated States of) | 278 | 71 | 181 | | 168 | |
| Monaco | | 1 102 | 1 102 | | | |
| Mongolia | | 275 | | | 275 | |
| Montenegro | 842 | 459 | | | 1 301 | |
| Morocco | 5 756 | 5 692 | | | 11 448 | |
| Mozambique | | 275 | | | 275 | |
| Myanmar | | 918 | 880 | | 38 | |
| Namibia | (918) | 918 | | | | |
| Nauru | 374 | 71 | | | 445 | 489 |
| Nepal | 2 240 | 551 | | | 2 791 | 1 551 |
| Netherlands | | 151 860 | 151 860 | | | |
| New Zealand | | 23 229 | 23 229 | | | |
| Nicaragua | 1 121 | 275 | | | 1 396 | 885 |
| Niger | 747 | 184 | | | 931 | 489 |
| Nigeria | 30 235 | 8 263 | | | 38 498 | 16 721 |
| Niue | 22 | 71 | 63 | | 30 | |

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 2005–2009 as at 15 May 2014 (USD) (see table 5)* |
|---|---|---|---|---|---|---|
| Norway | | 78 133 | 78 133 | | | |
| Oman | 1 595 | 9 365 | 10 960 | | | |
| Pakistan | 30 895 | 7 804 | 4 067 | | 34 632 | |
| Palau | 374 | 71 | | | 445 | 92 |
| Panama | | 2 387 | | | 2 387 | |
| Papua New Guinea | 932 | 367 | | | 1 299 | 1 263 |
| Paraguay | 2 891 | 918 | | | 3 809 | |
| Peru | 28 | 10 742 | | | 10 770 | |
| Philippines | | 14 139 | | | 14 139 | |
| Poland | | 84 560 | 84 560 | | | |
| Portugal | 43 893 | 43 520 | | | 87 413 | |
| Qatar | 19 537 | 19 189 | | | 38 726 | |
| Republic of Korea | | 183 076 | | | 183 076 | |
| Republic of Moldova | (275) | 275 | | | | |
| Romania | | 20 750 | 20 750 | | | |
| Russian Federation | | 223 842 | 30 842 | | 193 000 | |
| Rwanda | 185 | 184 | 345 | | 24 | |
| Saint Kitts and Nevis | 129 | 71 | 200 | | | |
| Saint Lucia | 237 | 71 | | | 308 | |
| Saint Vincent and the Grenadines | 374 | 71 | | | 445 | 480 |
| Samoa | 140 | 71 | | | 211 | |
| San Marino | (1) | 275 | 274 | | | |
| Sao Tome and Principe | 374 | 71 | | | 445 | 130 |
| Saudi Arabia | 8 165 | 79 327 | | | 87 492 | |
| Senegal | 2 240 | 551 | | | 2 791 | 1 642 |
| Serbia | | 3 673 | 3 673 | | | |
| Seychelles | | 71 | 71 | | | |
| Sierra Leone | 374 | 71 | | | 445 | 143 |
| Singapore | | 35 256 | 35 256 | | | |
| Slovakia | | 15 700 | | | 15 700 | |
| Slovenia | | 9 181 | | | 9 181 | |
| Solomon Islands | 278 | 71 | | | 349 | |
| Somalia | 294 | 71 | | | 365 | |
| South Africa | | 34 155 | 34 155 | | | |
| Spain | | 272 962 | | | 272 962 | |

| *Party* | *Arrears for 2010–2013 and advances as at 31 December 2013 (EUR)* | *Indicative contributions 2014 (EUR)* | *Amount paid in 2014 (EUR)* | *Contributions received in advance for future years (EUR)* | *Outstanding contributions for 2010–2014 as at 15 May 2014 (EUR)* | *Outstanding contributions for 2005–2009 as at 15 May 2014 (USD) (see table 5)* |
|---|---|---|---|---|---|---|
| Sri Lanka | 5 991 | 2 295 | | | 8 286 | |
| Sudan | 2 958 | 918 | | | 3 876 | |
| Suriname | 370 | 367 | | | 737 | |
| Swaziland | | 275 | | | 275 | |
| Sweden | | 88 141 | 88 141 | | | |
| Switzerland | | 96 129 | 96 129 | | | |
| Syrian Arab Republic | 10 354 | 3 305 | | | 13 659 | 4 490 |
| Tajikistan | (275) | 275 | | | | |
| Thailand | 7 152 | 21 943 | 10 126 | | 18 969 | |
| the former Yugoslav Republic of Macedonia | 103 | 735 | 826 | | 12 | |
| Timor-Leste | 144 | 184 | | | 328 | |
| Togo | 146 | 71 | | | 217 | |
| Tonga | 374 | 71 | | | 445 | 157 |
| Trinidad and Tobago | | 4 040 | | | 4 040 | |
| Tunisia | 1 434 | 3 305 | | | 4 739 | |
| Turkey | | 121 929 | | | 121 929 | |
| Turkmenistan | 2 462 | 1 744 | | | 4 206 | |
| Tuvalu | 374 | 71 | | | 445 | |
| Uganda | 556 | 551 | | | 1 107 | |
| Ukraine | 10 294 | 9 090 | | | 19 384 | |
| United Arab Emirates | 48 893 | 54 629 | | | 103 522 | |
| United Kingdom of Great Britain and Northern Ireland | | 475 503 | | | 475 503 | |
| United Republic of Tanzania | 2 958 | 826 | | | 3 784 | 2 117 |
| Uruguay | 4 832 | 4 774 | 17 | | 9 589 | |
| Uzbekistan | 1 389 | 1 377 | | | 2 766 | |
| Vanuatu | 374 | 71 | | | 445 | |
| Venezuela (Bolivarian Republic of) | 146 225 | 57 567 | | | 203 792 | |
| Viet Nam | 6 889 | 3 856 | | | 10 745 | |
| Yemen | 2 958 | 918 | | | 3 876 | 3 574 |
| Zambia | | 551 | | | 551 | |
| Zimbabwe | 1 028 | 184 | | | 1 212 | |
| **Total** | **1 988 336** | **7 062 361** | **1 967 778** | **1 317** | **7 084 236** | **104 927** |

Table 5
**Trust Fund for the Core Budget of the UNFCCC (Kyoto Protocol): status of outstanding contributions for the years 2005–2009 as at 15 May 2014**
(United States dollars)

| *Party* | *Arrears for 2005–2009 and advances as at 31 December 2013* | *Amount paid in 2014* | *Amount converted into euros and offset against euro contributions for 2014* | *Contributions received in advance for future years* | *Outstanding contributions as at 15 May 2014* |
|---|---|---|---|---|---|
| Benin | 576 | | | | 576 |
| Burkina Faso | 464 | 464 | | | |
| Burundi | 489 | | | | 489 |
| Cabo Verde | 92 | 92 | | | |
| Cameroon | 503 | | | | 503 |
| Chile | | 23 866 | | 23 866 | |
| Comoros | 92 | | | | 92 |
| Côte d'Ivoire | 2 218 | | | | 2 218 |
| Cuba | 6 247 | | | | 6 247 |
| Democratic Republic of the Congo | 696 | | | | 696 |
| Djibouti | 489 | | | | 489 |
| Dominica | 474 | | | | 474 |
| Dominican Republic | 12 128 | | | | 12 128 |
| Equatorial Guinea | 23 | | | | 23 |
| Gambia | 414 | | | | 414 |
| Guinea | 867 | | | | 867 |
| Guinea-Bissau | 409 | | | | 409 |
| Guyana | (2 780) | | 98 | 2 682 | |
| Haiti | 227 | | | | 227 |
| Iran (Islamic Republic of) | 20 757 | | | | 20 757 |
| Lao People's Democratic Republic | 184 | | | | 184 |
| Lebanon | 3 933 | 3 933 | | | |
| Liberia | 489 | | | | 489 |
| Libya | 22 982 | | | | 22 982 |
| Mauritania | 440 | | | | 440 |
| Nauru | 489 | | | | 489 |
| Nepal | 1 551 | | | | 1 551 |
| Nicaragua | 885 | | | | 885 |
| Niger | 489 | | | | 489 |
| Nigeria | 16 721 | | | | 16 721 |
| Palau | 92 | | | | 92 |
| Papua New Guinea | 1 263 | | | | 1 263 |

| *Party* | *Arrears for 2005–2009 and advances as at 31 December 2013* | *Amount paid in 2014* | *Amount converted into euros and offset against euro contributions for 2014* | *Contributions received in advance for future years* | *Outstanding contributions as at 15 May 2014* |
|---|---|---|---|---|---|
| Paraguay | 749 | 749 | | | |
| Saint Vincent and the Grenadines | 480 | | | | 480 |
| Sao Tome and Principe | 130 | | | | 130 |
| Senegal | 1 642 | | | | 1 642 |
| Sierra Leone | 143 | | | | 143 |
| Syrian Arab Republic | 4 490 | | | | 4 490 |
| Tonga | 157 | | | | 157 |
| Tuvalu | 184 | 184 | | | |
| United Republic of Tanzania | 2 117 | | | | 2 117 |
| Yemen | 3 574 | | | | 3 574 |
| **Total** | **107 569** | **29 288** | **98** | **26 548** | **104 927** |

Table 6

**List of Parties according to their status of contributions[a] to the Trust Fund for the Core Budget of the UNFCCC (Kyoto Protocol) as at 15 May 2014**

**(a) Parties that have contributions for the years up to and including 2014**

| | | | |
|---|---|---|---|
| Albania | Czech Republic | Liechtenstein | Republic of Moldova |
| Algeria | Denmark | Lithuania | Romania |
| Angola | Eritrea | Malaysia | Rwanda |
| Australia | Estonia | Maldives | Saint Kitts and Nevis |
| Austria | European Union | Mauritius | San Marino |
| Azerbaijan | Fiji | Monaco | Serbia |
| Bangladesh | Finland | Myanmar | Seychelles |
| Barbados | Germany | Namibia | Singapore |
| Bhutan | Ghana | Netherlands | South Africa |
| Botswana | Guyana | New Zealand | Sweden |
| Bulgaria | Hungary | Niue | Switzerland |
| Chile | Ireland | Norway | Tajikistan |
| Croatia | Latvia | Oman | the former Yugoslav Republic of Macedonia |
| Cyprus | Lesotho | Poland | |

**Total: 55 Parties**

[a] For the purpose of these tables only, a Party is considered not to have a contribution outstanding for a particular year if it has paid 50 per cent or more of that contribution.

**(b) Parties with outstanding contributions for 2014**

| | | | |
|---|---|---|---|
| Afghanistan | Ethiopia | Kiribati | Slovakia |
| Argentina | France | Luxembourg | Slovenia |
| Armenia | Georgia | Mexico | Spain |

| | | | |
|---|---|---|---|
| Bahamas | Greece | Mongolia | Swaziland |
| Belarus | Iceland | Mozambique | Thailand |
| Belgium | Iraq | Panama | Trinidad and Tobago |
| Bolivia (Plurinational State of) | Israel | Peru | Tunisia |
| Bosnia and Herzegovina | Jamaica | Philippines | Turkey |
| Cambodia | Japan | Republic of Korea | United Kingdom of Great Britain and Northern Ireland |
| Central African Republic | Jordan | Russian Federation | Uruguay |
| Colombia | Kazakhstan | Saudi Arabia | Zambia |
| Ecuador | Kenya | | |
| **Total: 46 Parties** | | | |

**(c) Parties with outstanding contributions for the years 2013 and 2014**

| | | | |
|---|---|---|---|
| Belize | Italy | Morocco | Turkmenistan |
| Brazil | Madagascar | Portugal | Uganda |
| China | Malta | Qatar | Ukraine |
| Congo | Marshall Islands | Suriname | United Arab Emirates |
| Egypt | Micronesia (Federal States of) | Timor-Leste | Uzbekistan |
| Indonesia | | | |
| **Total: 21 Parties** | | | |

**(d) Parties with outstanding contributions for the years 2012–2014**

| | | | |
|---|---|---|---|
| Cabo Verde | Guatemala | Mali | Togo |
| Cook Islands | Honduras | Montenegro | Viet Nam |
| Costa Rica | Kyrgyzstan | Samoa | |
| **Total: 11 Parties** | | | |

**(e) Parties with outstanding contributions for the years 2011–2014**

| | | | |
|---|---|---|---|
| Brunei Darussalam | India | Pakistan | Solomon Islands |
| El Salvador | Kuwait | Saint Lucia | Sri Lanka |
| Gabon | | | |
| **Total: 9 Parties** | | | |

**(f) Parties with outstanding contributions for the years 2010–2014**

| | | | |
|---|---|---|---|
| Antigua and Barbuda | Democratic People's Republic of Korea | Malawi | Tuvalu |
| Bahrain | Equatorial Guinea | Paraguay | Vanuatu |
| Burkina Faso | Grenada | Somalia | Venezuela (Bolivarian Republic of) |
| Cameroon | Lebanon | Sudan | Zimbabwe |

| | | | |
|---|---|---|---|
| Chad | | | |
| **Total: 17 Parties** | | | |

**(g) Parties with outstanding contributions for the years 2005–2014**

| | | | |
|---|---|---|---|
| Benin | Gambia | Mauritania | Saint Vincent and the Grenadines |
| Burundi | Guinea | Nauru | Sao Tome and Principe |
| Comoros | Guinea-Bissau | Nepal | Senegal |
| Côte d'Ivoire | Haiti | Nicaragua | Sierra Leone |
| Cuba | Iran (Islamic Republic of) | Niger | Syrian Arab Republic |
| Democratic Republic of the Congo | Lao People's Democratic Republic | Nigeria | Tonga |
| Djibouti | Liberia | Palau | United Republic of Tanzania |
| Dominica | Libya | Papua New Guinea | Yemen |
| Dominican Republic | | | |
| **Total: 33 Parties** | | | |

Table 7
**Trust Fund for the International Transaction Log: status of fees as at 15 May 2014**
(euros)

| *Party* | *Fees received in advance as at 31 December 2013* | *Indicative fees for 2014* | *Amount paid in 2014* | *Fees received in advance for future years* | *Outstanding fees as at 15 May 2014* |
|---|---|---|---|---|---|
| Australia | | 77 854 | 77 854 | | |
| Austria | | 43 526 | 43 483 | | 43 |
| Belgium | | 54 071 | | | 54 071 |
| Bulgaria | | 974 | 974 | | |
| Croatia | | 2 178 | 2 178 | | |
| Czech Republic | | 13 783 | | | 13 783 |
| Denmark | | 36 248 | 36 248 | | |
| Estonia | | 774 | 774 | | |
| European Union | | 73 584 | 73 584 | | |
| Finland | 27 651 | 27 651 | | | |
| France | | 292 360 | | | 292 360 |
| Germany | | 420 702 | 420 702 | | |
| Greece | 29 398 | 29 199 | | 199 | |
| Hungary | 11 978 | 11 978 | | | |
| Iceland | | 20 201 | 20 176 | | 25 |
| Ireland | 21 835 | 21 835 | | | |
| Italy | | 249 121 | | | 249 121 |
| Japan | | 409 442 | | | 409 442 |
| Latvia | 1 065 | 888 | | 177 | |

| *Party* | *Fees received in advance as at 31 December 2013* | *Indicative fees for 2014* | *Amount paid in 2014* | *Fees received in advance for future years* | *Outstanding fees as at 15 May 2014* |
|---|---|---|---|---|---|
| Liechtenstein | | 5 158 | 5 158 | | |
| Lithuania | 1 821 | 1 519 | | 302 | |
| Luxembourg | | 4 184 | | | 4 184 |
| Monaco | | 4 957 | 4 957 | | |
| Netherlands | 110 154 | 91 866 | | 18 288 | |
| New Zealand | 26 267 | 26 333 | 78 | 12 | |
| Norway | 76 207 | 63 555 | | 12 652 | |
| Poland | | 24 557 | 24 557 | | |
| Portugal | | 25 846 | 25 846 | | |
| Romania | | 3 439 | 3 439 | | |
| Russian Federation | | 75 189 | 75 189 | | |
| Slovakia | 3 711 | 3 095 | | 616 | |
| Slovenia | | 4 699 | 4 699 | | |
| Spain | | 145 564 | 145 564 | | |
| Sweden | 63 014 | 52 552 | | 10 462 | |
| Switzerland | 90 707 | 75 647 | | 15 060 | |
| Ukraine | | 20 431 | 7 500 | | 12 931 |
| United Kingdom of Great Britain and Northern Ireland | | 325 800 | 325 800 | | |
| **Total** | **463 808** | **2 740 760** | **1 298 760** | **57 768** | **1 035 960** |

Table 8

**Trust Fund for Supplementary Activities: status of contributions and fees as at 15 May 2014**

(United States dollars)

| *Party/donor* | *2014* |
|---|---|
| Belgium | 21 637 |
| Canada | 227 936 |
| Denmark | 296 803 |
| Finland | 137 520 |
| Germany | 1 654 503 |
| Global eSustainability Initiative | 200 000 |
| Japan | 968 004 |
| Netherlands | 134 970 |
| Rockefeller Foundation | 400 000 |
| Switzerland | 96 808 |
| The Children's Investment Fund Foundation | 180 000 |
| United Kingdom of Great Britain and Northern Ireland | 162 822 |
| World Bank | 1 000 000 |
| **Subtotal** | **5 481 003** |

| *Party/donor* | *2014* |
|---|---|
| Joint implementation determination fees | 15 000 |
| Joint implementation verification fees | 0 |
| Joint implementation Track 1 fees | 20 000 |
| **Subtotal** | **35 000** |
| **Total** | **5 516 003** |

Table 9
**Trust Fund for Participation in the UNFCCC Process: status of contributions as at 15 May 2014**
(United States dollars)

| *Party* | *2014* |
|---|---|
| Belgium | 44 939 |
| Germany | 339 213 |
| **Total** | **384 152** |

Table 10
**Trust Fund for the Clean Development Mechanism: status of fee-based income as at 15 May 2014**
(United States dollars)

| | *2014* |
|---|---|
| Clean development mechanism accreditation fees | 15 000 |
| Clean development mechanism accreditation process-related fees | 50 386 |
| Clean development mechanism methodology fees | 2 000 |
| Clean development mechanism project registration fees | 697 145 |
| Clean development mechanism share of proceeds | 1 784 224 |
| **Total** | **2 548 755** |